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Action:	For Information
Acronyms and Defined Terms SEC Glossary	

Operations Report – June 2023

1. Purpose

The Operations Report is a monthly update on SECAS activities undertaken in support of the Smart Energy Code (SEC) during June 2023.

2. SECAS Service highlights from June 2023

This report covers the following notable highlights from the previous month:

- **Party Engagement** – we hosted a webinar for Alt HAN which had 73 attendees from 107 registered on 13 June 2023. We held the Monthly Other SEC Party (OSP) Engagement Call on 23 June 2023 which led to an action to host an OSP and Other User (OU) meeting to discuss the OSP & OU Project Brief that went to Panel in June.
- **Security and Privacy** – we concluded our review of the Security Architecture Document facilitating a wash-up session with the project team and we progressed further improvement proposals in response to the Security Assessment Feedback workshop actions.
- **Tech Ops** – The team worked with the DCC to confirm that the DSMS programme is on track in its progress toward readiness. The OPSG has now approved the alerts on the Northbound Prioritisation capability to be delivered in October 2023. The DCC and SEC Parties have now completed the 1 July Price Change Event. Despite work on the User guidance prior to the event, there were some issues noted during the Price Change event and DCC will be providing further analysis around the root cause in due course.
- **Change Management** – we currently have 35 modifications open; more information on modifications and releases can be found in the SEC Change Update Report.
- **Project & Governance** – we facilitated 15 Panel, Sub-Committee and Working Group meetings and published the first iteration of the Industry Events Calendar. This includes meetings and consultations across the SEC, DCC, DESNZ and Ofgem.

3. Recommendation

The Panel is requested to **NOTE** the contents of this paper.

Charlotte Riddick; SECAS Team; 18 July 2023

Appendices

Appendix A – Post Commissioning Information Report (April 2023) (**RED**)

Appendix B – Programme Assurance Reporting (GREEN)

4. Market Entry, Exit and updates

4.1 SEC Parties

There are 48 Small Supplier Parties out of an 84 registered Small Suppliers who are Live DCC Users and not in Supplier of Last Resort (SoLR). 39 of these have live devices.

4.2 Market Entry and Exit

The following presents the number of Parties who entered and exited the market over the past 12 months. It also displays the Events of Default where one Party Defaulted in June 2023:

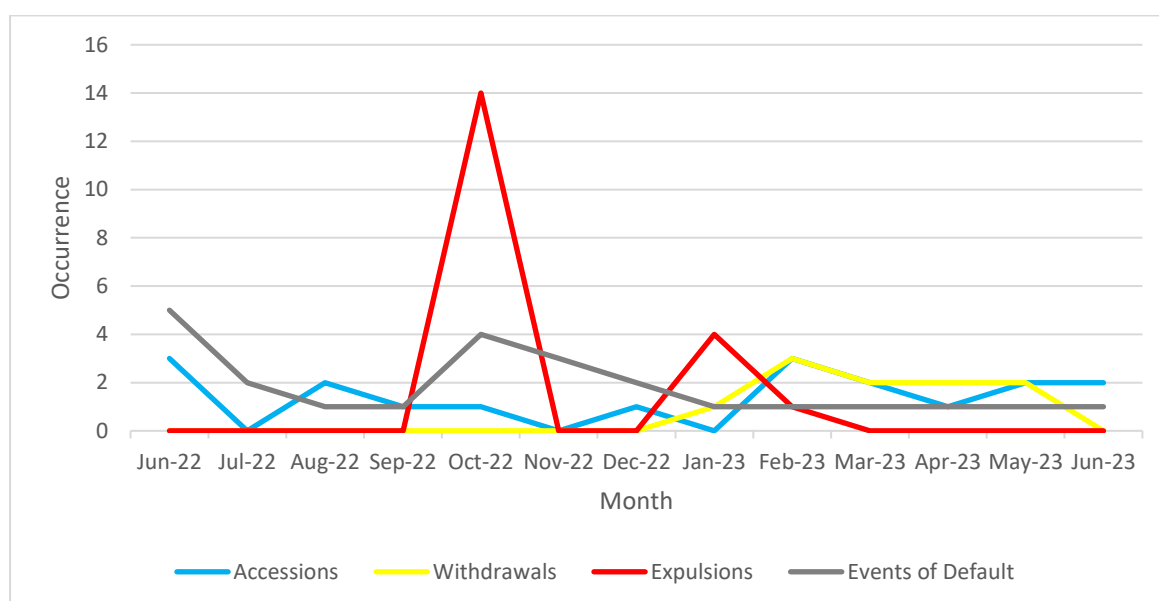


Figure 2: Accessions, withdrawals, expulsions and Events of Default in the past 12 months

In June 2023, two new Parties acceded to the SEC.

Status	Party Name	Party Category
Acceded	Independent Distribution Connection Specialists Ltd	Other SEC Parties
Acceded	Correla Limited	Other SEC Parties

Table 1: Parties that entered and exited the SEC in the previous month

4.3 User Entry Process (UEP) completion

No SEC Parties completed the UEP in June 2023. All current Parties that have completed the UEP can be viewed in the [SEC Parties List](#).

4.4 Testing Updates

Since the last Panel meeting:

- No new SEC Parties have completed **SMKI and Repository Entry Process Testing (SREPT)**;
- No new SEC Parties have completed **User Entry Process Testing (UEPT)**; and

- No new SEC Parties have completed **SMETS1 Eligibility Testing**.

5. Monthly SECAS Highlights

Security Statistics		Modification Statistics		Project & Governance	
100% Assessment compliance	5 SECAS Initial validations	1 New modification raised	35 Modifications undergoing assessment	100% Meeting notice 5WD in advance	100% Papers issued 5WD in advance
6 Assurance or Compliance Status' set	8 Assessments underway	3 Modifications decided upon	9 Modifications implemented	90% Minutes issued 5WD after meeting	10 Meetings held
Party Engagement		Technical Operations			
5 LinkedIn posts	1 CodeWorks registration	10 New CPL Versions	22 New CPL Entries		
2 Accessions	1 Webinar	1 New PPCL / EPCL Versions	6 CPL Removals		

June 2023 Snapshot

5.1 Security and Privacy Update

- We hosted two [Security Sub-Committee](#) (SSC) meetings in June; the monthly [Smart Metering Key Infrastructure Policy Management Authority](#) (SMKI PMA) and the monthly SSC CPA Issue Resolution Sub-Group (SCIRS) meeting.
- We also facilitated several Risk Review workshops with a sub-group of the SSC in preparation for the upcoming Annual Security Risk Assessment anticipated to begin later in the year.
- We facilitated a project wash up call, following the conclusion of the Security Architecture Document Review project, to discuss feedback and learnings to identify potential process improvements for future procurement and project activities. V6.0 is available on request via the

SSC inbox and will be classified at the new TLP Amber-Strict (following approval of the latest Panel Information Policy)

- We shared the first progress-report from the Quantum Computing project team with the SSC Sub-group and confirmed the project was progressing as expected with the next check-in call due to take place on 25 July in line with the project plan.
- We facilitated progression of the SSC's Security Assessment Feedback Workshop actions noting proposal from the User CIO to provide improvements to the evidence sampling approach, CIO reporting format and reframing of the Factual Accuracy Challenge. Agreed changes will be reflected in the next Security Controls Framework update anticipated for approval and publication by the end of July.
- We reached out to those Suppliers affected by the Devices with expired CPA certificates pending a removal decision from the SSC and requested a further 3 months of remediation plans be provided to continue to monitor the success of the required firmware upgrades and qualitative data to inform the SSC's decision regarding removal of these Devices from the CPL.

5.2 Change Management Update

- At the end of May, we had 35 open proposals going through the modification framework. One new proposal was raised in June, and we continue to work on several further new submissions from Proposers. More detailed information on modification progression and the Change Sub-Committee (CSC) and Change Board's decisions is available in the SEC Change Update Report.
- [DP218 'Review of the SEC Charging Methodology'](#) is looking to review the SEC charging arrangements. Following the ad hoc Working Group meeting on 22 March 2023, the DCC have provided further information where possible in order to support the assessment of the business case for progressing the modification. Initial analysis was completed on 24 May 2023 and the full analysis was completed by end of June 2023. Another Working Group meeting will take place on 12 July.
- We issued our latest Modcast at the end of June. The Modcast has been viewed 165 times on LinkedIn and 62 times on our website. We continue to welcome feedback on how we can further enhance these for Parties' benefit.

5.3 Project & Governance Update

In June, we facilitated 15 Panel, Sub-Committee and Working Group meetings, webinars and engagement calls.

- The SECAS team issued the notice for the Annual General Meeting of SECCo Ltd 2023 and distributed the electronic voting forms to all Shareholding SEC Parties. Shareholders are invited to complete the electronic form and return it to SECAS by 1pm Friday 21 July 2023.
- The Board approved the Annual Report and Financial Statements on 27 June which was published to the website [here](#).
- The Panel Elections process commenced on 17 July with the call for nominations issued. The deadline for nominations is Monday 7 August. The available seats are: 1 large Supplier, 1 Small Supplier, 1 Gas Network Operator and 1 Other SEC Party.
- SECAS produced and distributed summary guidance following the National Audit Office (NAO) publishing its [update on the rollout of smart meters](#) report to all SEC Parties.

- The Panel took an ex-committee decision since Panel 116 on 23 May, to review the Sub-Committees' assessments of DCC readiness to commence migration activity for the Enduring Change of Supplier (ECoS) programme. The Panel recommended go-live for the programme which went live on 29 June.
- We worked with DCC to co-ordinate the governance activity for the Communication Hub and Networks (CH&N) programme – OMS and Logistics, and the DSMS replacement system, DCC confirmed it is not requesting SEC approval for OMS and Logistics but are for the DSMS replacement system.
- SECAS issued a request to the SEC Panel to review and respond to DESNZ's proposed Baseline Margin Project Performance Adjustment (BMPPA) scheme for the DCC's fourth generation (4G) CH&N Programme as an ex-committee decision.
- The Communications Transition Group had its fifth meeting on 16 June. Key updates include:
 - On the CH&N Programme:
 - The proposed 3G closedown plan across Great Britain including the Plymouth and Basingstoke Vodafone 3G sunsetting trial regions and that Oxford and Kingston-Upon-Hull would be the next two regions trialled.
 - Progress on the 4G transition workshops is well on track.
 - A coverage checker tool will be available from August 2023 and a coverage enduring solution will be ready in 2024.
 - Members reviewed the plan-on-a-page (POAP), a document based on the key high-level relevant information captured in the Overall Transition Plan (OTP). The sensitivity of the classification of both the POAP and OTP is being considered.
 - A non-sensitive version of the CTG Factsheets would be published on the SECAS website. Members approved to baseline the Factsheets as v1.0.
- The Project & Governance team published an Industry Events Calendar for July. This calendar contains all meetings, events, formal consultations, modifications and publications led by the DCC, DESNZ, MHHS Programme and SECAS. This calendar will be published on the SECAS website on a monthly basis going forward, The June version can be found on the bottom of the meetings calendar page on the website [here](#).
- The SEC Website Replacement Project continues with the requirements being finalised and the team reaching out to markets to determine possible solutions.

The monthly Programme Assurance Report to update the SEC Panel and SEC Parties monthly on the status of the DCC Programmes is provided as Appendix B. It outlines activity carried out since the last update, key milestones and planned engagement over the next quarter as well as changes to the stages of the Programmes and artefacts received as part of the programme life-cycle.

5.4 Party Engagement Update

- Preparations are underway to deliver the Annual SEC Engagement Day on 19 July 2023. This will be the first in-person event since 2019. Invitations have been sent to all SEC Parties. Approximately 60 SEC Parties are due to attend. Those unable to attend in person will be able to watch the presentation via a livestream link. Throughout June we raised awareness at Sub-Committee meetings and engagement calls and liaised with the venue and presenters to confirm the requirements.
- On 13 June 2023, 73 attendees participated in our Alt HAN: Why it Matters to You webinar. The attendance had a balanced mix of current and new SEC Parties, with 45% confirming the

webinar was their first interaction with SECAS since acceding to the SEC out of 33 responses. There was a good level of engagement, with the audience providing a total of 84 individual responses. Attendees were invited to share their views and feedback via Slido, responses included feedback on whether they had customers who had installed smart meters but not benefitting from the full smart metering services, challenges with meter installations and topics to be covered during future Alt HAN events.

- The latest SEC Newsletter received 215 views. You can register to receive the monthly SEC Newsletter by using the registration form at the bottom-right of this [webpage](#). All previous newsletters can be viewed [here](#).

The [SECAS Helpdesk](#) offers an email and telephone service to anyone requiring advice. Most contact is made by email, with volumes illustrated in Figure 3. We received 13 telephone queries over the past month.

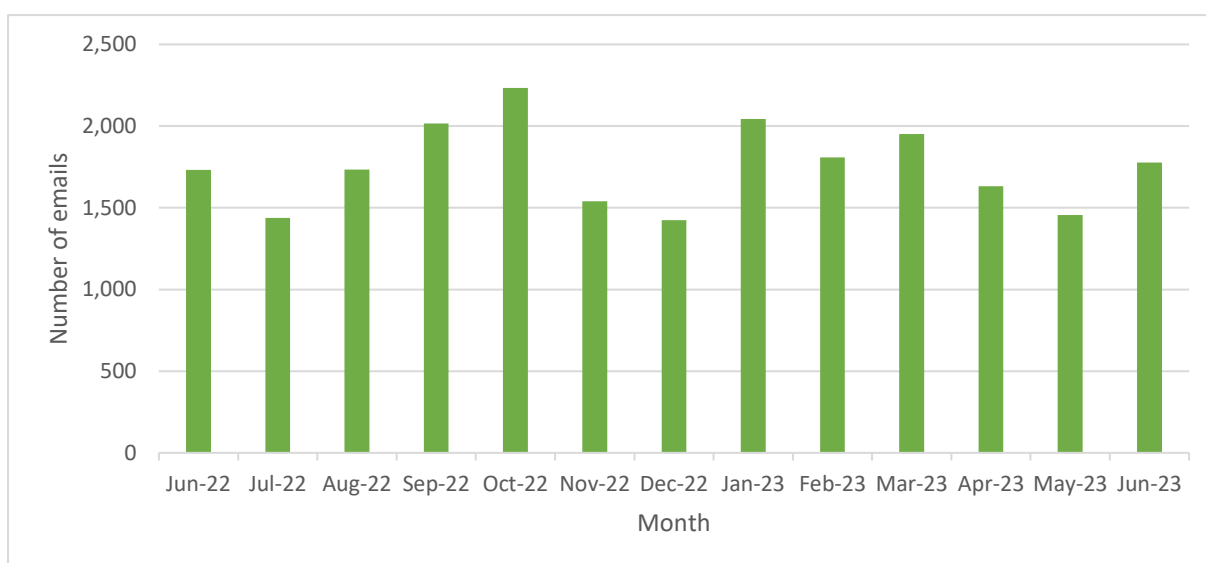


Figure 3: SECAS Helpdesk Emails over the past 12 months

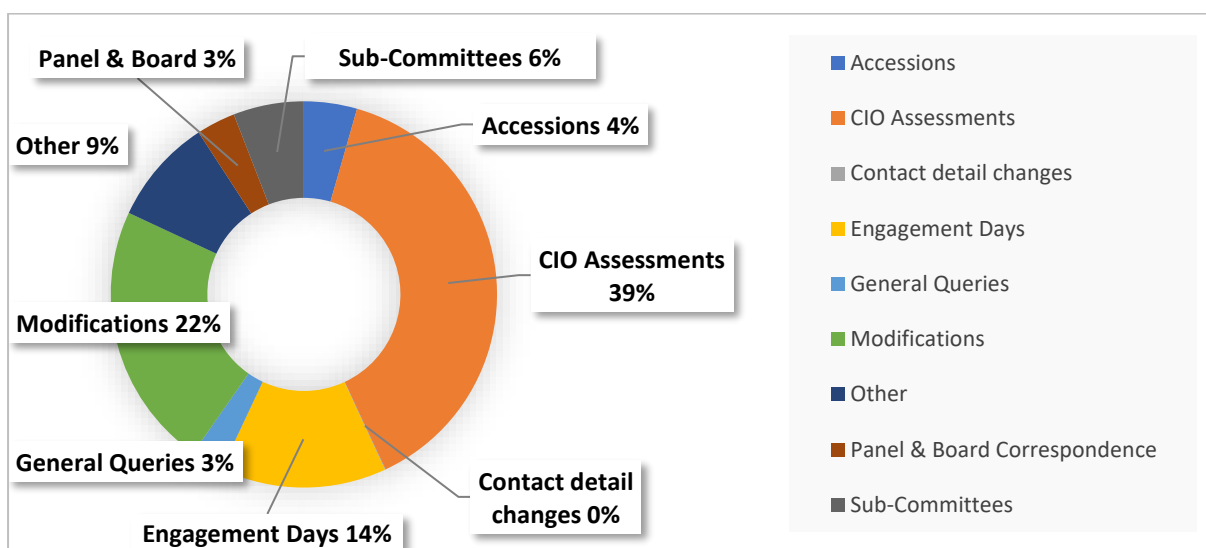


Figure 4: The number of SECAS Helpdesk emails received per topic in the previous month

- The SEC website received over 27,500 page visits in June 2023. The top five viewed pages in the month were:
 - [Home Page](#)
 - [Modifications](#) - (Modifications Tracker)
 - [The Smart Energy Code](#) - (Codeworks, Consolidated SEC & SEC Document Log)
 - [My Login](#)
 - [My Account](#)

5.5 Technical Operations Update

The Technical Operations team in SECAS provides support to the Panel Sub-Committees. This month we have reviewed the DCC update on the DSP programme.

- The team worked with the DCC to confirm that the DSMS programme is on track in its progress toward readiness.
- The OPSG has now approved the alerts on the Northbound Prioritisation capability to be delivered in October 2023.
- The DCC and SEC Parties have now completed the 1 July Price Change Event. Despite work on the User guidance prior to the event, there were some issues noted during the Price Change event and DCC will be providing further analysis around the root cause in due course.
- We continue to monitor the progress of GBCS 4.1 readiness through TAG and OPSG. The team also oversees the production and publication of several Products lists. The graph below sets out the number of SEC product list versions which SECAS has published over the past 12 months by type. This month the DPL was the most produced list:

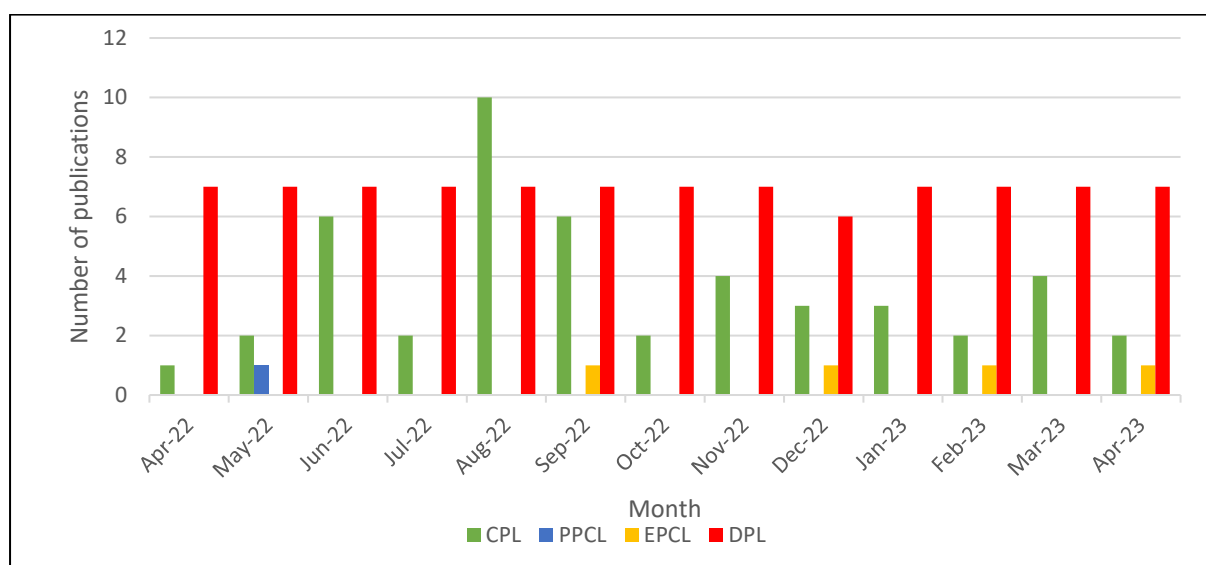


Figure 5: The number of lists that SECAS has produced each month, over the past 12 months.

Useful links: [Central Products List](#), [Pending Products Combination List](#), [Eligible Products Combination List](#) and [Deployed Products List](#)

The Performance Measures Review Group (PMRG), a sub-group of the OPSG, had its final meeting in March to discuss the methodology of CSP Performance measures; a number of clarifications from DCC were sought and some actions were not concluded in this final meeting. As a result, there will be a discussion in the OPSG 93 reporting meeting to determine next steps for resolution of PMRG.

5.6 DCC SEC Panel Reports Summary

The Operations Group (OPSG) conduct a monthly review of the DCC SEC Panel Reports that have been delegated by SEC for the OPSG to approve or reject the commentary and raise any further issues that should be highlighted to the Panel. The Security Sub-Committee also reviews the Post-Commissioning Report.

Post-Commissioning Report

The DCC presented the latest Post-Commissioning Report for May 2023 to the SSC on 28 June. The discussion around this item was classified as **RED** and is therefore not contained within this report.

This report has a **RED** classification and distribution is limited to the SEC Panel only provided as Appendix A – Post Commissioning Information Report (May 2023) (**RED**).

Registration Data Provider (RDP) Incidents

The DCC is required to provide a monthly report related to the time it has taken to resolve RDP Incidents where the DCC is responsible for resolution, but RDP input is required to do so (SEC Appendix AG 2.5.10).

Registration Data Incidents – May 2023

- There were 3 new Incidents opened in the month of May.
- All new Incidents from May have been resolved through Registration Data corrections.
- 12 Incidents were resolved overall within the month.

Performance Measurement Report (PMR) April 2023

- Three Code Performance Measure (CPMs) are reported below Target Service Level: CPM3A CSP C&S measures for Alerts which are subject to SEC Section H3.14(i), percentage of Alerts delivered within the applicable Target Response Time, the Service Level achieved is 88.62% and is below the Minimum Service Level.
- CPM4 measures Percentage of Incidents which the DCC is responsible for resolving and which fall within Incident Category 1 or 2 that are resolved in accordance with the Incident Management Policy within the Target Resolution Time, the Service Level achieved is 66.67% and is below the Minimum Service Level.
- CPM7 measures Percentage of Certificates delivered within the applicable Target Response Time for the SMKI Services, the Service Level achieved is 98.59% and is below the Target Service Level.
- The report notes that there were 3 Category 1 or 2 Incidents closed in April 2023. 1 Incident was excluded from the April 2023 performance.
- The Service Levels achieved for CPM1, CPM2, CPM3, CPM3A CSPN, CPM5, CPM6 and CPM8 are all above the Target Service Level.

5.7 Smart Meter Device Assurance (SMDA) Update

There are currently 42 Devices on the DAR split out by Device type as:

- 27 ESMEs
- Six PPMIDs
- Nine GSMEs

A GMSE Device was awarded Full Assurance on the November 2019 SEC Release Baseline on 11 July 2023.

5.8 SEC Meeting Details

The table below contains links to the [SEC meetings](#) (and their associated documents) held in **June 2023**:

Date	Meeting
1 June	TABASC Meeting 90
5 June	Strategic Working Group 15
7 June	SEC Monthly Working Group Meeting – June 2023
13 June	Operations Group 96
13 June	Alternative Home Area Network (Alt HAN) Webinar
14 June	SECPMA Meeting 106
14 June	SSC Meeting 171
16 June	Communications Transition Group 5
20 June	Change Sub-Committee Meeting 52
21 June	Change Board Meeting 79
23 June	Other SEC Party Monthly Engagement Call – June 2023
26 June	Operations Group 97
27 June	SEC Panel Meeting 117
28 June	SSC Meeting 172
28 June	TAG Meeting 103

Table 2: A summary of all SEC meetings held in the previous month